proton resonances were of equal intensity (cf. [{Yb(OR)(μ -OR)}₂], R=2,6-tBu₂-4-MeC₆H₂^[14]), and very complex changes occurred on cooling (reversed on warming). Accordingly, some structural modification, possibly involving partial dissociation of THF, occurs on dissolution in C₇D₈. Nevertheless, the spectra at the two lowest temperatures investigated (213 and 193 K) showed two equal intensity H4 resonances, consistent with a symmetrical dimer. The 171 Yb chemical shift at room temperature is within the range[15] for dimeric Yb^{II} complexes and close to the value of δ = 536[15] for [{Yb(NR'₂)(μ -OR)}₂](R'=SiMe₃).

Crystallization from light petroleum appears to be a vital factor in isolation of 1, and probably causes loss of THF from a monomeric $[Yb(tBu_2pz)_2(thf)_n]$ species. (Compound 1 in C₄D₈O shows only single tBu and H4 resonances in the ¹H NMR spectrum.) Adoption of μ - η^2 : η^2 bonding enables the YbII center to attain a higher degree of coordination saturation than is possible with the alternative arrangements of a five-coordinate monomer, $[Yb(\eta^2-tBu_2pz)_2(thf)]$, or a five-coordinate μ - η^1 : η^1 - bridged dimer. Moreover the latter structure would lead to severe steric repulsion between the 3and 5-tBu substituents of the bridging ligands and the terminal ligands, whereas adverse steric interactions are reduced with the μ - η^2 : η^2 -tBu₂pz ligands. 3,5-Disubstituted (tBu, Ph) pyrazolates are insufficiently bulky to stabilize low-coordinate (≤6) Ln^{III} complexes,^[7] and ytterbium is eight-coordinate in $2^{[8]}$

Experimental Section

The compound described here is extremely air- and moisture-sensitive and consequently all operations were carried out in an inert atmosphere (purified Ar or N₂). Yb metal powder (3.46 g, 20.0 mmol), HgPh₂ (1.96 g, 5.56 mmol), and tBu_2pzH (2.0 g, 11.1 mmol) in THF (30 mL) were heated at 60 °C for 30 h. Filtration of the red solution to remove excess Yb and precipitated Hg followed by evaporation of THF yielded a red solid which on crystallization from light petroleum at $-20\,^{\circ}\mathrm{C}$ afforded large red crystals of **1** (2.58 g 77%). IR (Nujol): $\tilde{v} = 3122$ w, 1601 w, 1562 w, 1500 s, 1432 s, 1404 ms, 1358 s, 1315 m, 1296 m, 1248 vs, 1231 s, 1206 s, 1104 vw, 1037 s, 1006 s, 991 s, 917 m, 882 m, 802 s, 782 s, 732 m, 724 s, 664 w, 626 m cm⁻¹; ¹H NMR (300 MHz, $[C_7D_8]$, 0.02 M, 297 K): $\delta = 6.25$ (s) and 6.13 (s) (total integration 4H, H4 pz), 3.40 (vbr, 8H; α -THF), 1.44 (s) and 1.33 (s) (total integration 80 H,tBu; β-THF); ¹H NMR (300 MHz, [C₄D₈O], 0.05 M, 297 K): $\delta = 5.89$ (s, 4H, H4 pz), 1.29 (s, 72 H; tBu); ¹³C {¹H} NMR (50 MHz, [C₆D₆], 0.02 M, 297 K): $\delta = 30.6$, 31.4 (CH₃), 32.1, 32.2 (C(CH₃)₃), 96.9, 100.2 (C3,C5), 162.5, 164.0 (C4); ¹⁷¹Yb NMR (52.5 MHz, 0.05 м in PhMe): δ = 557 (Δ ν _{1/2} = 44 Hz) (relative to $[(C_5Me_5)_2Yb(thf)_2]$); Vis/near IR $(4.14 \times 10^{-3} \text{ m in THF})$; λ_{max} (ε)) = 386 (383), 429sh (283) nm; elemental analysis calcd for $C_{52}H_{92}N_8O_2Yb_2$: C 51.73, H 7.68, N 9.28, Yb 28.66; found: C 51.30, H 7.54, N 9.21, Yb 28.28.

> Received: March 12, 1998 [Z11577IE] German version: *Angew. Chem.* **1998**, *110*, 2372 – 2373

Keywords: lanthanides · N ligands · ytterbium

- [1] S. Trofimenko, Chem. Rev. 1972, 72, 497 509.
- [2] S. Trofimenko, *Prog. Inorg. Chem.* **1986**, *34*, 115 210.
- [3] A. P. Sadimenko, S. S. Bassoon, Coord. Chem. Rev. 1996, 147, 247 297.
- [4] G. La Monica, G. A. Ardizzoia, *Prog. Inorg. Chem.* **1997**, *46*, 151 238.
- [5] J. E. Cosgriff, G. B. Deacon, Angew. Chem. 1998, 110, 298-299;Angew. Chem. Int. Ed. 1998, 37, 286-287.
- [6] C. W. Eigenbrot, K. N. Raymond, *Inorg. Chem.* 1981, 20, 1553–1556;
 C. W. Eigenbrot, K. N. Raymond, *Inorg. Chem.* 1982, 21, 2653–2660.

- [7] J. E. Cosgriff, G. B. Deacon, B. M. Gatehouse, H. Schumann, R. Weimann, Chem. Ber. 1996, 129, 953–958 and references therein.
- [8] G. B. Deacon, E. E. Delbridge, B. W. Skelton, A. H. White, Eur. J. Inorg. Chem. 1998, 543–545.
- [9] a) D. Röttger, G. Erker, M. Grehl, R. Frölich, Organometallics 1994,
 13, 3897-3902; b) I. A. Guzei, A. G. Baboul, G. P. A. Yap, A. L. Rheingold, H. B. Schlegel, C. H. Winter, J. Am. Chem. Soc. 1997, 119,
 2287-2288; c) I. A. Guzei, G. P. A. Yap, C. H. Winter, Inorg. Chem.
 1997, 36, 1738-1739.
- [10] Crystal structure analysis of 1: Air-sensitive red crystals, $C_{52}H_{92}N_8O_2Yb_2$, $M_r = 1207.44$, triclinic, space group $P\bar{1}$ with a =13.588(3), b = 12.169(6), c = 10.034(7) Å, $\alpha = 108.43(5)$, $\beta =$ $100.76(3), \; \gamma = 104.68(3)^{\circ}, \; V = 1457(1) \; \mathring{\rm A}^3, \; Z = 1, \; \rho_{\rm calcd} = 1.376 \; {\rm g \; cm^{-3}}, \;$ F(000) = 616, $\mu_{Mo} = 32.3 \text{ cm}^{-1}$, $A_{\min, \max}^* 1.45$, 2.10. A single crystal $0.12 \times 0.22 \times 0.25$ mm was covered in a heavy oil and sealed into a glass capillary under purified argon. From 5121 diffractometer reflections $(2\theta_{\text{max}} = 50^{\circ}, 2\theta/\theta \text{ scan mode}; \text{monochromatic } Mo_{K\alpha})$ radiation; $\lambda = 0.71073 \text{ Å}$; $T \approx 295 \text{ K}$; 10233 total reflections merged $(R_{\text{int}} = 0.038)$, 3436 "observed" $(I > 3\sigma(I))$) used after Gaussian absorption correction in the final least squares refinement (anisotropic thermal parameters for non-hydrogen atoms, x, y, z, and U_{iso} constrained for H atoms). R, R_w on |F| were 0.048, 0.049 (statistical weights). Crystallographic data (excluding structure factors) for the structure reported in this paper have been deposited with the Cambridge Crystallographic Data Center as supplementary publication no. CCDC-101244. Copies of the data can be obtained free of charge on application to CCDC, 12 Union Road, Cambridge CB21EZ, UK (fax: (+44)1223-336-033; e-mail: deposit@ccdc.cam.
- [11] R. D. Shannon, Acta Crystallogr. Sect. A 1976, 32, 751 767.
- [12] $C(23) \cdots Yb' \ 3.32(1)$, $C(24) \cdots Yb' \ 3.64$ (1), $C(25) \cdots Yb' \ 3.22(1)$, cf. $C(23') \cdots Yb \ 3.83(1)$, $C(24') \cdots Yb \ 4.43(1)$, $C(25') \cdots Yb \ 3.77(1)$ Å
- [13] G. B. Deacon, Q. Shen, J. Organomet. Chem. 1996, 511, 1-17; G. N. Cloke, Chem. Soc. Rev. 1993, 22, 17-24.
- [14] J. R. van den Hende, P. B. Hitchcock, M. F. Lappert, J. Chem. Soc. Chem. Commun. 1994, 1413–1414.
- [15] J. R. van den Hende, P. B. Hitchcock, S. A. Holmes, M. F. Lappert, J. Chem. Soc. Dalton Trans. 1995, 1435–1440.

Lithium Ephedrinate Mediated Aldol Reaction of Arylacetonitriles: Thermodynamic Control of Enantioselectivity**

Paul R. Carlier,* Weldon W.-F. Lam, Nan Chi Wan, and Ian D. Williams

A reaction in which enantioselectivity is thermodynamically controlled is termed an "asymmetric transformation".^[1] Both homogeneous ("first kind") and crystallization-induced ("second kind") asymmetric transformations are synthetically attractive, since they offer the possibility of converting a racemic mixture into its enantiomers in quantitative yield. The aldol reaction would appear to be a logical choice for the

- [*] Prof. Dr. P. R. Carlier, W. W.-F. Lam, Dr. N. C. Wan, Prof. Dr. I. D. Williams^[+] Department of Chemistry Hong Kong University of Science and Technology Clear Water Bay, Kowloon, Hong Kong (China) Fax: (+852)2358-1594
- E-mail: chpaul@ust.hk
 [*] X-ray structure analysis
- [**] This work was supported by the Research Grants Council of Hong Kong (HKUST 588/95P).

development of asymmetric transformations, given the documented facility of retro-aldol pathways. However, to date, no reversible enantioselective aldol reactions are known.

Herein we report the first example of a thermodynamically controlled enantioselective aldol reaction: Lithium ephedrinate (1-Li) mediates the addition of arylacetonitriles 2 to aldehydes with up to 86% ee. The β -hydroxynitriles rac-3 are readily synthesized by the anti-selective aldol reaction of arylacetonitriles, [2] and are useful precursors for γ -amino alcohol neuronal reuptake inhibitors such as 4b.[3] The use of nitriles in enantioselective aldol reactions is currently limited to acetonitrile^[4] and 2-cyanopropionates.^[5] To enable asymmetric synthesis of β -hydroxynitriles derived from 2, we explored the use of a wide range of nonbasic, monobasic, and dibasic chelating chiral ligands. The best results were afforded by the use of 1.2 equivalents of 1-Li (generated in situ by the addition of *n*BuLi to (1R,2S)-(-)-ephedrine (1-H)) in a $0.05 \,\mathrm{M}$ solution of the nitrile in dimethoxymethane (DMM), as illustrated in Scheme 1 for the reaction of 2a and 2b with

Scheme 1. Aldol reaction of **2a** and **2b** with pivalaldehyde mediated by **1-**Li.

pivalaldehyde. In both cases the (2S,3S)-(-)-alcohols **3a** and **3b** are formed as pure *anti* compounds; the enantiomeric excesses were low (40% for **3a**) to moderate (77% for **3b**).

The absolute configurations were determined in the following way. Single-crystal X-ray determination of the (1S)-(+)-10-camphorsulfonic acid salt of (+)-ent- $\mathbf{4b}^{[6]}$ (obtained by resolution of rac- $\mathbf{4b}$, crystals from ethanol/water) established that (+)-ent- $\mathbf{4b}$ possessed the 2R,3R configuration. Reduction of (-)- $\mathbf{3b}$ afforded (-)- $\mathbf{4b}$, thus indicating the 2S,3S configuration for (-)- $3\mathbf{b}$ (Scheme 2). Conversion of

Scheme 2. a) LiAlH₄/AlCl₃, Et₂O; NaOH (aq). b) Chloride salt of (+)- α -methoxy- α -trifluoromethylphenylacetyl chloride (1.1 equiv), CH₂Cl₂, NaOH (aq).

(-)-3b (86% *ee*) into the corresponding (*R*)-Mosher amide **5b** confirmed that reduction of **3b** was stereoselective.^[7] Compound (-)-**3a** was assigned the 2*S*,3*S* configuration on the basis of its levorotation and the similarity of the ¹H NMR spectrum of the major (*R*)-Mosher amide **5a** to that of (2*S*,3*S*,2'*R*)-**5b**.

β-Amino alkoxides have been used previously as chiral controllers in 1,2-additions^[8] and aldol reactions.^[4, 9] However,

our use of a 24-hour reaction time at -78 °C in the present case is unusual, and stems from the observation that initial enantioselectivities are near zero. The effect of reaction time on the enantiomeric excess in different reactions of **2a** and pivalaldehyde $(0.025 \,\mathrm{M})^{[10]}$ is depicted in Table 1. As can be

Table 1. Effect of reaction time on yield and enantiomeric excess of $\bf 3a$ ($[\bf 2a]_0 = 0.025\,{\rm M}$).[a]

[a] The values were determined from six individual reactions. [b] Yield of **3a** after chromatography (pure *anti* product according to ¹H NMR spectroscopy). [c] Determined by HPLC (Daicel Chiralcel OD).

seen, the yield is essentially maximized after 1 h, but the enantiomeric excess rises to a maximum value of approximately 34% after 12 h (curve A, Figure 1). Schlosser et al.

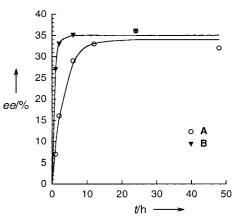


Figure 1. Enantiomeric excess of $\bf 3a$ as a function of reaction time ([$\bf 2a$] $_0 = 0.025\,\rm m$). Curve A: data from separate reactions (Table 1). Curve B: data from a single reaction.

observed a similar dependence of the enantiomeric excess on the reaction time for the carboxylation of sparteine-ligated lithium *N*-BOC-*N*-methylbenzylamine (BOC=*tert*-butoxy-carbonyl).^[11] As a check, another reaction was performed, and aliquots of the reaction mixture were periodically withdrawn and analyzed directly; these data are shown in curve B of Figure 1. These two series of experiments clearly indicate that enantioselectivity is thermodynamically controlled and that the aldol reaction is reversible.

We have previously established that diastereoselectivity in the reaction of lithiated 2a and cyclohexanecarbaldehyde in THF at $-78\,^{\circ}\mathrm{C}$ within 30 min is kinetically controlled. [2c] The change to thermodynamic control in the present case is most likely due to the 48-fold increase in the reaction time, but the assistance of DMM or 1-Li cannot be ruled out. As a final proof that the aldol reaction of nitriles was reversible over a 24-hour period at $-78\,^{\circ}\mathrm{C}$, racemic *anti*-aldols *rac*-3a, b were individually resubjected to the reaction conditions (Scheme 3

COMMUNICATIONS

Scheme 3. Deracemization of rac-3a and rac-3b mediated by 1-Li.

and Experimental Section). Suprisingly, no deracemization^[12] took place in the presence of even a slight excess of *n*BuLi. However, reactions performed with "substoichiometric" amounts of base (1.9 equiv) were successful: **3a** and **3b** are recovered with 31% and 86% *ee*, respectively, values close to those obtained in the corresponding aldol reactions (Scheme 1). Additional evidence for operation of a retroaldol pathway is provided by the observation of small amounts of starting nitriles **2a** and **2b** (3–8%) in the crude product mixture. No precipitate was observed during the reaction, which indicates that **3a** and **3b** are formed as a result of an asymmetric reaction of the first kind.

At this point it is possible to outline a possible mechanism for asymmetric induction. Lithium alkoxides, including 1-Li,^[13] are known to be aggregated in ether solvents. We propose that the lithium alkoxides of both 3 and *ent-3* form mixed aggregates with 1-Li. These mixed aggregates are diastereomeric and differ in energy; retro-aldol reaction establishes the equililibrium concentration of these diastereomers, which upon quenching gives rise to enantiomerically enriched 3.

Noncovalently linked chiral controllers have been successfully applied in a great number of crystallization-driven asymmetric transformations.^[1, 14] However, effective use of noncovalently linked chiral controllers in homogeneous asymmetric transformations is rarely achieved. In reactions which deracemize a single stereogenic center Pirkle et al., ^[15] Hoppe et al., ^[14] and Hoffmann et al.^[16] have achieved asymmetric induction approaching 80% *ee*. Beak et al. have achieved up to 98% *ee* (at 10% conversion) by using a limiting reagent to "quench" a homogeneous asymmetric transformation of an organolithium compound. ^[17] The current work is noteworthy in view of the high enantiomeric excess obtained (86%), and the fact that two stereogenic centers are simultaneously deracemized.

Experimental Section

Deracemization of rac-3b: An oven-dried 50-mL flask was charged with rac-3b[2a l] (126.5 mg, 0.5 mmol) and 1-H (100 mg, 0.6 mmol) and purged with N $_2$. Then 10 mL of DMM (freshly distilled from Na/benzophenone) were added, and the solution was cooled to $-78\,^{\circ}$ C. nBuLi (2.31M in hexane, 0.4 mL, 0.92 mmol) was added, and after 24 hours at $-78\,^{\circ}$ C the reaction was quenched by addition of a saturated aqueous solution of NH $_4$ Cl (1.0 mL). Standard workup and column chromatography (EtOAc/hexane 15/85) afforded 94.3 mg of 3b (75%). The spectral data matched that of rac-3b.[2b l] HPLC analysis indicated 86% ee (Daicel Chiralcel OD, EtOH/hexane 5/95, 1 mLmin $^{-1}$; $t_{\rm ret}$ =13.0 (25,35), 16.6 min (2R,3R)). Recrystallization (toluene/hexane) gave 48 mg of 3b (34%) with 95% ee; [a] $_{\rm D}$ = -74.0° (21 $^{\circ}$ C, c = 1.01 in CHCl $_3$).

Received: March 30, 1998 [Z11652IE] German version: *Angew. Chem.* **1998**, *110*, 2374–2376 **Keywords:** aldol reactions \cdot asymmetric synthesis \cdot lithium \cdot nitriles \cdot retro reactions

- [1] E. L. Eliel, S. H. Wilen, L. N. Mander in *Stereochemistry of Carbon Compounds*, Wiley, New York, **1994**; pp. 364–374, 1192–1193.
- [2] a) P. R. Carlier, K. M. Lo, M. M.-C. Lo, P. C.-K. Lo, C. W.-S. Lo, J. Org. Chem. 1997, 62, 6316–6321; b) P. R. Carlier, K.-M. Lo, M. M.-C. Lo, I. D. Williams, J. Org. Chem. 1995, 60, 7511–7517; c) P. R. Carlier, K.-M. Lo, J. Org. Chem. 1994, 59, 4053–4055.
- [3] P. R. Carlier, M. M.-C. Lo, P. C.-K. Lo, E. Richelson, M. Tatsumi, I. J. Reynolds, T. A. Sharma, *Bioorg. Med. Chem. Lett.* **1998**, 8, 487 – 492.
- [4] K. Soai, Y. Hirose, S. Sakata, Tetrahedron: Asymmetry 1992, 3, 677–680.
- [5] R. Kuwano, H. Miyazaki, Y. Ito, Chem. Commun. 1998, 71-72.
- [6] Crystallographic data (excluding structure factors) for the structure reported in this paper have been deposited with the Cambridge Crystallographic Data Centre as supplementary publication no. CCDC-101395. Copies of the data can be obtained free of charge on application to CCDC, 12 Union Road, Cambridge CB21EZ, UK (fax: (+44)1223-336-033; e-mail: deposit@ccdc.cam.ac.uk).
- [7] The diastereomeric purity of (R)-Mosher amides 5a and 5b was determined by ¹H NMR spectroscopy (300 MHz, CDCl₃). The best resolution was observed for the NH signal (t, J = 5.8 Hz): 5a: δ = 6.98 (major), 6.79 (minor, from ent-4a); 5b: δ = 7.01 (major), 6.81 (minor, from ent-4b). (R)-Mosher amides derived from enantiomerically pure 4b and ent-4b gave single NH resonances at δ = 7.01 and 6.81, respectively, confirming that the ¹H NMR spectroscopic determination of the diastereomeric excess is not affected by the presence of amide rotamers.
- [8] A. Thompson, E. G. Corley, M. F. Huntington, E. J. J. Grabowski, J. F. Remenar, D. B. Collum, J. Am. Chem. Soc. 1998, 120, 2028–2038.
- [9] a) J. Mulzer, P. De Lasalle, A. Chucholowski, U. Blaschek, G. Brüntrup, I. Jibril, G. Huttner, *Tetrahedron* 1984, 40, 2211-2218;
 b) E. Juaristi, A. K. Beck, J. Hansen, T. Matt, T. Mukhopadhyay, M. Simson, D. Seebach, *Synthesis* 1993, 1271-1289.
- [10] Subsequent process optimization established that maximum enantiomeric excesses at obtained at a nitrile concentration of 0.05 м (Scheme 1).
- [11] M. Schlosser, D. Limat, J. Am. Chem. Soc. 1995, 117, 12342-12343.
- [12] The term "deracemization" is sometimes used to refer to kinetically controlled enantioselective protonation of an achiral enolate. We use the term to describe a thermodynamically controlled conversion of a racemic mixture into an enantiomerically enriched material.
- [13] M. A. Nichols, A. T. McPhail, E. M. Arnett, J. Am. Chem. Soc. 1991, 113, 6222–6233.
- [14] D. Hoppe, T. Hense, Angew. Chem. 1997, 109, 2376-2410; Angew. Chem. Int. Ed. Eng. 1997, 36, 2282-2316.
- [15] W. H. Pirkle, D. S. Reno, J. Am. Chem. Soc. 1987, 109, 7189 7190.
- [16] R. W. Hoffmann, W. Klute, Chem. Eur. J. 1996, 2, 694–700.
- [17] A lower enantiomeric excess (84% ee) is obtained at 100% conversion, which reflects the actual ratio (92:8) of diastereomeric sparteine-ligated organolithium complexes: S. Thayumanavan, A. Basu, P. Beak, J. Am. Chem. Soc. 1997, 119, 8209–8216.